

# The Faber Operator and its Boundedness

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Let  $G$  be a domain bounded by a Jordan curve  $\Gamma$ , and let  $A(\bar{G})$  be the Banach space of functions continuous on  $\bar{G}$  and holomorphic in  $G$ . The Faber operator  $T$  is a linear mapping from  $A(\bar{\mathbb{D}})$  to  $A(\bar{G})$  mapping  $w^n$  onto the  $n$ th Faber polynomial  $F_n(z)$  ( $n=0, 1, 2, \dots$ ). We show that  $\|T\| < \infty$  if  $\Gamma$  is piecewise Dini-smooth, and give an example of a quasicircle  $\Gamma$  for which  $\|T\| = \infty$ . © 1999 Academic Press

*Key Words:* complex approximation; Faber operator.

## 1. INTRODUCTION AND MAIN RESULTS

In the following  $G$  is a domain in  $\mathbb{C}$  bounded by a Jordan curve  $\Gamma$ , and  $A(\bar{G})$  is the Banach space of functions  $F$  which are holomorphic in  $G$  and continuous on  $\bar{G}$ ; we let  $\|F\| = \max\{|F(z)| : z \in \bar{G}\}$ . If  $G$  is the unit disk  $\mathbb{D}$ , we get the Banach space  $A(\bar{\mathbb{D}})$ . Given  $F \in A(\bar{G})$ , our problem is to find estimates for

$$E_n(F, \bar{G}) := \min\{\|F - P\| : P \in \Pi_n\}$$

where  $\Pi_n$  is the set of all polynomials of degree  $\leq n$ . This is a classical problem; see for example Gaier [6] or Smirnov–Lebedev [12] and references given there.

One elegant method to achieve this is the use of the Faber polynomials  $F_n$  and the Faber operator  $T$  associated with the domain  $G$ . Assume we have such an operator  $T$  with the following properties:

- (i)  $T$  maps  $w^n$  onto  $F_n(z)$  ( $n=0, 1, 2, \dots$ );
- (ii)  $T$  is linear and bounded on  $\Pi = \bigcup_{n=0}^{\infty} \Pi_n \subset A(\bar{\mathbb{D}})$  and can therefore be extended to a linear and bounded map from  $A(\bar{\mathbb{D}})$  to  $A(\bar{G})$ ;
- (iii) given  $F \in A(\bar{G})$ , there is an  $f \in A(\bar{\mathbb{D}})$  with  $F = Tf$ .

Then we have, for arbitrary coefficients  $a_k$ ,

$$F - \sum_{k=0}^n a_k F_k = T \left( f - \sum_{k=0}^n a_k w^k \right)$$

and

$$\left\| F - \sum_{k=0}^n a_k F_k \right\| \leq \|T\| \cdot \left\| f - \sum_{k=0}^n a_k w^k \right\|,$$

from which it follows that

$$E_n(F, \bar{G}) \leq \|T\| \cdot E_n(f, \bar{\mathbb{D}}), \quad (1.1)$$

so that the original problem is reduced to an approximation problem in  $\bar{\mathbb{D}}$ .

It is therefore important to know which conditions on  $\Gamma$  imply  $\|T\| < \infty$ . We deal with this question in Sections 3 and 4. We give a new geometric criterion for  $\|T\| < \infty$  and an example of a domain with  $\|T\| = \infty$ .

**THEOREM 1.** *If  $\Gamma$  is piecewise Dini-smooth, then  $\|T\| < \infty$ .*

A subarc  $\gamma$  of  $\Gamma$ ,  $z = z(s)$  (where  $s \in [a, b]$  is arc length) is called *Dini-smooth* if  $\gamma$  is smooth, i.e.  $z'(s)$  is continuous in  $[a, b]$ , and if furthermore  $z'(s)$  has a modulus of continuity  $\omega$  which satisfies

$$\int_0^c \frac{\omega(t)}{t} dt < \infty \quad \text{for some } c > 0. \quad (1.2)$$

Equivalently, the tangent angle  $\vartheta = \vartheta(s) = \arg z'(s)$  will have a modulus of continuity satisfying (1.2). And  $\Gamma$  is called *piecewise Dini-smooth* if  $\Gamma = \bigcup \gamma_j$  with a finite number of Dini-smooth arcs  $\gamma_j$ . Here  $\Gamma$  may have corners and cusps.

**THEOREM 2.** *There is a domain  $G$  with quasiconformal boundary  $\Gamma$  for which  $\|T\| = \infty$ .*

This will be an analytical construction using the exterior mapping function  $\psi$ . We do not know of a purely geometric way to construct such a Jordan curve  $\Gamma$ .

## 2. THE FABER POLYNOMIALS AND THE FABER OPERATOR

In the following we give some definitions and survey known results.

2.1. *Jordan Curves of Bounded Secant Variation*

If  $\Gamma$  is rectifiable,  $z = z(s)$  with arc length  $s \in [0, L]$ , and if  $\vartheta(s) := \arg z'(s)$  can be defined on  $[0, L]$  to become a function of bounded variation, then  $\Gamma$  is called of *bounded rotation* ( $\Gamma \in BR$ ), and  $\int_{\Gamma} |d\vartheta(s)|$  is called the total rotation of  $\Gamma$ .

For our purposes a larger class of Jordan curves is important. We consider the function  $h(\zeta) := \arg(\zeta - z)$  for fixed  $z \in \Gamma$  or  $z \in G$ , and where  $\zeta$  traverses  $\Gamma$ . If  $z = z(s)$  is on  $\Gamma$ ,  $\zeta$  starts at  $z(s+)$  and stops at  $z(s-)$ ; the total variation of  $h(\zeta)$  as a function of  $\zeta$  will be denoted by  $\text{Var}_{\zeta} \arg(\zeta - z)$ . If this is finite, it is clear that  $\arg(\zeta - z)$  has limits as  $\zeta \rightarrow z(s+)$  and as  $\zeta \rightarrow z(s-)$ :  $\Gamma$  possesses forward and backward tangents at  $z$ .

**DEFINITION.** If there is a fixed constant  $M$  such that

$$\text{Var}_{\zeta} \arg(\zeta - z) \leq M < \infty \quad \text{for all } z \in \Gamma,$$

then  $\Gamma$  is called of bounded secant variation. We write  $\Gamma \in BSV$ .

This class of Jordan curves was introduced by Andersson [2], see also Korevaar [8]. Andersson showed that  $\Gamma \in BR$  implies  $\Gamma \in BSV$  but not conversely. Furthermore, it is not difficult to construct a smooth  $\Gamma$  which is not of  $BSV$ .

If  $z \in G$ , the total variation of  $h(\zeta)$ , as  $\zeta$  traverses  $\Gamma$ , is independent of the starting point, and will be denoted by

$$\text{Var}_{\zeta} \arg(\zeta - z), \quad z \in G.$$

By way of an example, take  $\Gamma$  to be the unit circle. We get

$$\text{Var}_{\zeta} \arg(\zeta - 1) = \pi \quad \text{and} \quad \text{Var}_{\zeta} \arg(\zeta - 0) = 2\pi.$$

**LEMMA 1.** *If  $\Gamma$  is of bounded secant variation,*

$$\text{Var}_{\zeta} \arg(\zeta - z) \leq M < \infty \quad \text{for all } z \in \Gamma, \quad (2.1)$$

then

$$\text{Var}_{\zeta} \arg(\zeta - z) \leq M + 2\pi \quad \text{for all } z \in G. \quad (2.2)$$

*Proof.* Let  $\zeta_0, \zeta_1, \dots, \zeta_j, \zeta_{j+1}, \dots, \zeta_N = \zeta_0$  be  $N$  different points on  $\Gamma$  in positive orientation. We study

$$h_N(z) := \sum_{j=0}^{N-1} |\arg(\zeta_{j+1} - z) - \arg(\zeta_j - z)|$$

for  $z \in G$ . This is a subharmonic function in  $G$ , and since  $\Gamma$  has half-tangents at each point  $\zeta_j$ , each term  $\arg(z - \zeta_j)$  is bounded in  $G$ , so that each  $h_N$  is subharmonic and bounded in  $G$ . Now let  $z \rightarrow z_0 \in \Gamma$ ,  $z_0 \neq \zeta_j$  ( $j = 1, 2, \dots, N$ ). Assume that  $z_0$  is on an arc from  $\zeta_{j_0}$  to  $\zeta_{j_0+1}$ . It is clear that

$$h_N(z) \rightarrow \sum_{j \neq j_0} |\arg(\zeta_{j+1} - z_0) - \arg(\zeta_j - z_0)| + \alpha \quad (2.3)$$

where  $\alpha$  is the angle at  $z_0$  of the triangle  $\zeta_{j_0}, z_0, \zeta_{j_0+1}$  and thus  $0 \leq \alpha \leq 2\pi$ , while the sum in (2.3) is  $\leq M$  by assumption. We get

$$\overline{\lim} h_N(z) \leq M + 2\pi \quad \text{as } z \rightarrow z_0 \in \Gamma, \quad z_0 \neq \zeta_j.$$

Lindelöf's maximum principle for subharmonic functions (Ahlfors [1], p. 38 or Heins [7], p. 76) now gives  $h_N(z) \leq M + 2\pi$  for all  $z \in G$ , and (2.2) is established. ■

## 2.2. The Faber Polynomials

We collect a few known facts; see for example [6], p. 46ff. If

$$z = \psi(w) = bw + b_0 + \frac{b_1}{w} + \dots \quad |w| > 1 \quad (2.4)$$

is the normalized exterior mapping function which maps  $\{w: |w| > 1\}$  onto the exterior of the Jordan curve  $\Gamma$ , the Faber polynomials can be defined by a generating function:

$$\frac{w\psi'(w)}{\psi(w) - z} = 1 + \sum_{n=1}^{\infty} F_n(z) w^{-n}, \quad |w| > 1, \quad z \in \bar{G}. \quad (2.5)$$

From this follows an integral representation

$$F_n(z) = \frac{1}{2\pi i} \int_{\partial\mathbb{D}} w^n \frac{\psi'(w)}{\psi(w) - z} dw, \quad z \in G; \quad n = 0, 1, 2, \dots \quad (2.6)$$

provided that  $\Gamma$  is rectifiable so that  $\psi'$  is integrable on  $\partial\mathbb{D}$ . Another integral representation

$$F_n(z) = \frac{1}{\pi} \int_{t=0}^{2\pi} e^{int} d_t \arg[\psi(e^{it}) - z], \quad z \in \Gamma; \quad n = 1, 2, \dots \quad (2.7)$$

was proved by Pommerenke [9], p. 425 whenever  $\Gamma \in BR$ , but (2.7) is actually true for the wider class  $\Gamma \in BSV$ ; see Andersson [2], p. 4.

Finally, we note that there is a direct relation between the coefficient  $b_n$  in (2.4) and the Faber polynomial  $F_n$ :

$$\frac{nb_n}{b} = \frac{1}{2\pi i} \int_{\partial\mathbb{D}} F_n(\psi(\omega)) d\omega \quad n = 0, 1, 2, \dots \quad (2.8)$$

In Pommerenke [10], p. 58 this is shown via the Grunsky coefficients, but these can be avoided by integrating (2.5) with  $z = \psi(\omega)$  on  $\partial\mathbb{D}$  and applying the residue theorem.

### 2.3. The Faber Operator

Motivated by (2.6), we can give an integral representation of the Faber operator  $T$  by

$$(Tf)(z) := \frac{1}{2\pi i} \int_{\partial\mathbb{D}} f(w) \frac{\psi'(w)}{\psi(w) - z} dw \quad z \in G, \quad (2.9)$$

provided that  $\Gamma$  is rectifiable. The function  $F = Tf$ , for  $f \in A(\bar{\mathbb{D}})$ , will be holomorphic in  $G$ , but if  $T$  is a bounded operator, i.e. if there is a constant  $C$  such that

$$\sup\{|F(z)| : z \in G\} \leq C \cdot \sup\{|f(w)| : w \in \mathbb{D}\} \quad (2.10)$$

holds for all  $f \in A(\bar{\mathbb{D}})$ , then the image function  $F$  will be in the subspace  $A(\bar{G})$  of  $\text{Hol } G$ , and  $T$  satisfies the assumptions (i) and (ii) of the introduction.

To obtain (2.10), we bring (2.9) into different form. For this, we need a lemma.

LEMMA 2. *Let  $g$  be continuous on  $\partial\mathbb{D}$ , and  $h \in L^1$  on  $\partial\mathbb{D}$ . Assume that*

$$g(e^{it}) \sim \sum_{k \geq 0} a_k e^{ikt} \quad \text{and} \quad h(e^{it}) \sim \sum_{k \geq 0} b_k e^{ikt}.$$

Then

$$\frac{1}{2\pi i} \int_0^{2\pi} g(e^{it}) f(e^{it}) dt = -ia_0 b_0. \quad (2.11)$$

*Proof.* Let

$$g(z) := \sum_{k=0}^{\infty} a_k z^k \in A(\bar{\mathbb{D}}) \quad \text{and} \quad h(z) := \sum_{k=0}^{\infty} b_k z^k \in H^1(\mathbb{D})$$

be the holomorphic extensions of  $g$  and  $h$  into  $\mathbb{D}$ . The residue theorem gives

$$\begin{aligned} \frac{1}{2\pi i} \int_0^{2\pi} g(e^{it}) h(e^{it}) dt &= \frac{1}{2\pi i} \int_{|z|=r < 1} g(z) h(z) \frac{dz}{iz} \\ &= \frac{1}{i} g(0) h(0) = -ia_0 b_0. \quad \blacksquare \end{aligned}$$

Now put  $w = e^{it}$  in (2.9) to get

$$F(z) = \frac{1}{2\pi i} \int_0^{2\pi} f(e^{it}) \left[ \frac{\psi'(e^{it}) ie^{it}}{\psi(e^{it}) - z} \right] dt \quad z \in G. \quad (2.12)$$

Here  $[ ] = i +$  negative powers of  $e^{it}$  and hence its conjugate

$$\left[ \right]^- = -i + \sum_{k > 0} d_k e^{ikt}.$$

Therefore by (2.11)

$$\frac{1}{2\pi i} \int_0^{2\pi} f(e^{it}) \overline{\left[ \frac{\psi'(e^{it}) ie^{it}}{\psi(e^{it}) - z} \right]} dt = -if(0) \cdot (-i) = -f(0).$$

Subtracting this from (2.12) we get our *alternative representation* of the operator  $T$ :

$$F(z) = (Tf)(z) = \frac{1}{\pi} \int_0^{2\pi} f(e^{it}) \operatorname{Im} \left[ \frac{\psi'(e^{it}) ie^{it}}{\psi(e^{it}) - z} \right] dt - f(0)$$

or

$$F(z) = (Tf)(z) = \frac{1}{\pi} \int_0^{2\pi} f(e^{it}) \frac{d}{dt} \arg\{\psi(e^{it}) - z\} dt - f(0), \quad z \in G; \quad (2.13)$$

see Korevaar [8], p. 288 with a somewhat different derivation.

If now  $\Gamma$  is of *BSV*, we have (2.2), and from (2.13) we obtain

$$|F(z)| \leq \|f\| \cdot \frac{1}{\pi} \operatorname{Var}_t \arg\{\psi(e^{it}) - z\} + \|f\| \leq \|f\| \cdot \left[ \frac{M}{\pi} + 3 \right].$$

**THEOREM 3** (Andersson [2], Korevaar [8]). *If  $\Gamma$  is of BSV, the Faber operator is bounded.*

3. A NEW CONDITION FOR  $\|T\| < \infty$ 

As we noted in Section 2.1, a smooth Jordan curve  $\Gamma$  need not be of  $BSV$ . However, we are now going to prove

**THEOREM 4.** *If the Jordan curve  $\Gamma$  is piecewise Dini-smooth, then  $\Gamma \in BSV$ .*

Combining this with Theorem 3 from above, this will prove Theorem 1. Notice that corners and cusps are permitted in  $\Gamma$ .

## 3.1. Reduction of the Problem

1. Let  $\gamma: \zeta = \zeta(s)$  be a piecewise smooth Jordan arc, and let  $z_0 \in \mathbb{C}$ . We denote by

$$V(\gamma, z_0) = \text{Var}_{\zeta} \arg(\zeta - z_0)$$

the total variation of  $\arg(\zeta - z_0)$  as  $\zeta$  traverses  $\gamma$ . This is an additive function of  $\gamma$ : If  $\Gamma = \bigcup_j \gamma_j$  then

$$V(\Gamma, z_0) = \sum_j V(\gamma_j, z_0). \quad (3.1)$$

2. We now give a rough estimate. Again, let  $\gamma$  be piecewise smooth, with  $|\zeta'(s)| \leq m$  on  $\gamma$  and  $l$  as the length of  $\gamma$ . Assume that  $\text{dist}(\gamma, z_0) = r > 0$ . If then

$$\theta(s) = \arg(\zeta(s) - z_0) = \text{Im} \log(\zeta(s) - z_0)$$

we have

$$\theta'(s) = \text{Im} \frac{\zeta'(s)}{\zeta(s) - z_0} \quad \text{and hence} \quad |\theta'(s)| \leq \frac{m}{r},$$

so that

$$V(\gamma, z_0) \leq \frac{m}{r} l. \quad (3.2)$$

This means that an arc  $\gamma$  at a positive distance from  $z_0$  gives only a bounded contribution to the secant variation with respect to  $z_0$ .

3. We now reduce our problem: It is sufficient to prove Theorem 4 for Dini-smooth Jordan curves  $\Gamma$ . So let  $\Gamma$  be piecewise Dini-smooth, and let  $z_0 \in \Gamma$ . We write

$$\Gamma = \bigcup_{j=-n}^m \gamma_j \quad \text{and assume} \quad z_0 \in \gamma_0.$$

We may assume that any two adjacent arcs  $\gamma_j$  and  $\gamma_{j+1}$  form an angle  $\neq \pi$ . Because of (3.1) we have

$$V(\Gamma, z_0) = \sum_{j=-n}^m V(\gamma_j, z_0).$$

For  $j > 1$  and  $j < -1$  the arcs  $\gamma_j$  are at a distance  $\text{dist}(\gamma_j, z_0) \geq r > 0$ , with  $r$  depending on  $\Gamma$  only, so that (3.2) gives

$$V(\gamma_j, z_0) \leq \frac{m_j}{r} l_j \quad \text{for } j > 1 \quad \text{and} \quad j < -1.$$

More critical are the cases  $j = \pm 1$  and  $j = 0$ . To estimate  $V(\gamma_1, z_0)$ , we extend  $\gamma_1$  by a Jordan arc  $\gamma'_1$  in such a way that

- $\gamma_1 \cup \gamma'_1$  is a smooth Jordan curve  $\Gamma_1$
- $\gamma_0$  lies inside  $\Gamma_1$  (except for the point  $\gamma_0 \cap \gamma_1$ ).

Since  $\gamma_1$  was Dini-smooth,  $\gamma'_1$  can obviously be chosen so that  $\Gamma_1$  is Dini-smooth, too.

Assume now that we know that a Dini-smooth Jordan curve is of *BSV*. Then

$$V(\gamma_1, z_0) \leq V(\Gamma_1, z_0) \leq \sup\{V(\Gamma_1, Q) : Q \in \Gamma_1\} + 2\pi$$

by an application of Lemma 1. Similarly we estimate  $V(\gamma_{-1}, z_0)$ . To estimate  $V(\gamma_0, z_0)$ , we extend  $\gamma_0$  by  $\gamma'_0$  so that  $\Gamma_0 = \gamma_0 \cup \gamma'_0$  is a Dini-smooth Jordan curve, and again

$$V(\gamma_0, z_0) \leq V(\Gamma_0, z_0)$$

where now  $z_0 \in \Gamma_0$ .

4. Our reduced problem is therefore to show that a *Dini-smooth* Jordan curve  $\Gamma$  is of *BSV*. Because of (3.2) it suffices to show this for an arc around  $z_0$ , and even for a subarc  $\gamma$  of  $\Gamma$  with endpoint  $z_0$  which we may choose to be the origin. This leads us to the following *final problem*:



Given a Dini-smooth arc  $\gamma: z = z(s)$  with  $0 \leq s \leq s_0$  where  $z(0) = 0$  and  $\arg z'(0) = 0$  (horizontal tangent at 0). We need to estimate the total variation of  $\theta(s) = \arg z(s)$  in the interval  $[0, s_0]$ .

### 3.2. Secant Variation of a Dini-smooth Arc

We now come to the problem mentioned at the end of the last section. However, we represent the arc  $\gamma$  in a more suitable form.

**THEOREM 5.** *Let  $\gamma$  be a Dini-smooth Jordan arc:*

$$\gamma: z = z(x) = x + ih(x) \quad 0 \leq x \leq x_0, \quad \text{with } h(0) = 0, h'(0) = 0,$$

where  $h'$  is Dini-continuous, i.e. its modulus of continuity

$$\omega(t) = \omega(t, h') = \sup\{|h'(x_1) - h'(x_2)| : |x_1 - x_2| \leq t\}$$

satisfies

$$\int_{t=0}^{x_0} \frac{\omega(t)}{t} dt \leq A < \infty. \quad (3.3)$$

Then the secant variation  $V(\gamma, z_0)$  with respect to  $z_0 = 0$  is

$$V(\gamma, 0) \leq 2A. \quad (3.4)$$

*Proof.* If  $\theta = \arg z(x)$ ,  $0 < x \leq x_0$ , we have to estimate

$$V(\gamma, 0) = \int_{\gamma} |d\theta| = \int_0^{x_0} \left| \frac{d\theta}{dx} \right| dx \leq \int_0^{x_0} \left| \left( \frac{h(x)}{x} \right)' \right| dx$$

since  $\tan \theta = h(x)/x$  and therefore

$$\left| \frac{d\theta}{dx} \right| = \cos^2 \theta \cdot \left| \left( \frac{h(x)}{x} \right)' \right| \leq \left| \left( \frac{h(x)}{x} \right)' \right|.$$

Notice that

$$\left( \frac{h(x)}{x} \right)' = \frac{h'(x)}{x} - \frac{h(x)}{x^2},$$

in which  $|h'(x)| \leq \omega(x)$  and

$$|h(x)| = \left| \int_0^x h'(t) dt \right| \leq \int_0^x \omega(t) dt \leq x \cdot \omega(x).$$

Hence

$$\left| \left( \frac{h(x)}{x} \right)' \right| \leq 2 \frac{\omega(x)}{x},$$

and (3.4) follows. ■

This completes the proof of Theorem 4.

## 4. A DOMAIN WITH UNBOUNDED FABER OPERATOR

### 4.1. Preliminaries

The Faber operator  $T$  maps the powers  $w^n$  onto the Faber polynomials  $F_n(z)$ , for  $n = 1, 2, \dots$ . If  $T$  is a bounded operator from the Banach space  $A(\overline{\mathbb{D}})$  to  $A(\overline{G})$ , we therefore have

$$\|F_n\|_{A(\overline{G})} \leq \|T\| \cdot \|w^n\|_{A(\overline{\mathbb{D}})} = \|T\|,$$

that is the Faber polynomials must be uniformly bounded on  $\overline{G}$ . If this is so, we see from (2.8) that the sequence  $\{nb_n\}_{n=1}^{\infty}$  is bounded, where  $b_n$  are the coefficients of the exterior mapping  $\psi$ . In order to produce a domain  $G$  with boundary  $\Gamma$  for which the Faber operator is *unbounded*, it suffices to construct  $\Gamma$  such that  $\{nb_n\}$  is unbounded.

A Jordan curve  $\Gamma$  with this property was first produced by Clunie [5]. His construction actually gives a *quasiconformal* Jordan curve  $\Gamma$  for which the Faber operator is not bounded. Our main tool is Becker's univalence criterion; see below.

### 4.2. The Exterior Mapping Function $\psi$

Following Clunie, we define  $\psi$  so that  $\log \psi'$  is represented by a gap power series:

$$\log \psi'(w) = \sum_{k=3}^{\infty} c_k w^{-qk-2}, \quad |w| > 1 \quad (4.1)$$

with  $q = 10$  and coefficients  $c_k$  with  $|c_k| \leq M = 1.01$ . Clearly  $\psi'(w) = \exp(\sum_{k=3}^{\infty} \dots)$  and so

$$\psi(w) = w + \frac{b_1}{w} + \frac{b_2}{w^2} + \dots, \quad |w| > 1 \quad (4.2)$$

is holomorphic in  $\{w: |w| > 1\}$ . To see that  $\psi$  is univalent, we apply Becker's criterion (Becker [3], p. 322; Pommerenke [10], p. 173): If

$$(|w|^2 - 1) \cdot \left| w \frac{\psi''(w)}{\psi'(w)} \right| \leq \varrho < 1 \quad \text{for } |w| > 1 \quad (4.3)$$

then  $\psi$  maps  $\{w: |w| > 1\}$  univalently onto the exterior of a quasiconformal curve  $\Gamma$ .

We show that (4.3) is satisfied with  $\varrho = 0.99$ . First,

$$\left| w \frac{\psi''(w)}{\psi'(w)} \right| \leq \sum_{k=3}^{\infty} |c_k| (q^k + 2) y^{q^k+2} \leq m \sum_{k=3}^{\infty} (q^k + 2) y^{q^k+2}$$

where we have put  $y = |w|^{-1} \in (0, 1)$ . Further,

$$|w|^2 - 1 = y^{-2} - 1 \leq 2(1 - y) y^{-2}$$

and therefore the left hand side of (4.3) is

$$\leq 2M(1 - y) \sum_{k=3}^{\infty} q^k y^{q^k} + 4M \sum_{k=3}^{\infty} (1 - y) y^{q^k}. \quad (4.4)$$

In the *second* term  $4M = 4.04$ , while each term in the series is  $< q^{-k}$  so that

$$\sum_{k=3}^{\infty} (1 - y) y^{q^k} < 10^{-3} + 10^{-4} + \dots;$$

in other words, the second term in (4.4) is  $< 0.0045$ .

In the *first* term of (4.4), the factor of  $M$  is less than

$$2 \log \frac{1}{y} \cdot \sum_{k=1}^{\infty} q^k y^{q^k} = 2q^{-j+x} \cdot \sum_{k=1}^{\infty} q^k \exp(-q^{-j+x+k}) \leq B_q < 0.9699$$

where we have put  $y = \exp(-q^{-j+x})$  and used the estimate in Pommerenke [11], p. 190. Altogether, the left hand side of (4.3) is less than

$$1.01B_{10} + 0.0045 < 1.01 \cdot 0.9699 + 0.0045 < 0.99.$$

Now we choose the coefficients in (4.1) to be constant:  $c_k = c = 1.01$  and put  $l_k = q^k + 2$  so that

$$\begin{aligned} \psi'(w) &= \prod_{k=3}^{\infty} \exp(cw^{-l_k}) \\ &= \left(1 + \frac{c}{w^{l_3}} + \dots\right) \cdot \left(1 + \frac{c}{w^{l_4}} + \dots\right) \cdot \dots \cdot \left(1 + \frac{c}{w^{l_k}} + \dots\right) \cdot \dots \end{aligned}$$

Multiplying out, the coefficient of  $w^{-n}$ , for  $n = l_3 + l_4 + \dots + l_k$ , is  $\geq c^{k-2}$ . These coefficients in the expansion of  $\psi'$  are therefore unbounded, and we have proved:

If  $c_k = c = 1.01$  in (4.1), the exterior mapping  $\psi$  in (4.2) will have coefficients  $b_n$  with  $nb_n$  unbounded, and  $\psi$  will map  $\{w: |w| > 1\}$  onto a domain with a quasiconformal boundary  $\Gamma$ . By what we have said in Section 4.1, the finite domain  $G$  bounded by  $\Gamma$  will have an unbounded Faber operator. Theorem 2 is proved.

*Remark.* For more on schlicht functions with large coefficients, see Carleson and Jones [4].

### 4.3. Refinement

We saw that the Faber polynomials  $F_n$  associated with the curve  $\Gamma$  from above are not uniformly bounded on  $\Gamma$ . More is true: *For each fixed  $z_0 \in \mathbb{C}$ , the Faber polynomials are unbounded at  $z_0$ .* This was observed by Suetin ([13], p. 224) in connection with Clunie's example mentioned earlier.

To see this, we note that the sequence  $\{nb_n\}$  is not only unbounded but closer inspection shows that

$$n |b_n| \geq n^\gamma \quad \text{for some } \gamma > 0 \quad \text{and infinitely many } n. \quad (4.5)$$

Using (4.5), we can even show: *For each  $z_0 \in \mathbb{C}$ , and for each  $p < \gamma$  with  $\gamma$  from (4.5), the sequence  $\{F_n(z_0) \cdot n^{-p}\}$  is unbounded.*

To see this, we use the recursion formula

$$(n+1)b_n = (z_0 - b_0)F_n(z_0) - F_{n+1}(z_0) - \sum_{k=1}^{n-1} b_{n-k}F_k(z_0) \quad (n=1, 2, \dots);$$

see Pommerenke [10], p. 57. If  $|F_n(z_0)| \leq Mn^p$  for all  $n$  and some  $M$ , then

$$n |b_n| \leq An^p + B \sum_{k=1}^{n-1} |b_{n-k}| k^p = An^p + B \sum_{k=1}^{n-1} |b_k| \sqrt{k} \cdot \frac{(n-k)^p}{\sqrt{k}},$$

in which the sum is bounded by

$$\left[ \sum_{k=1}^{n-1} |b_k|^2 k \right]^{1/2} \cdot \left[ \sum_{k=1}^{n-1} \frac{(n-k)^{2p}}{k} \right]^{1/2} \leq 1 \cdot n^p [1 + \log n]^{1/2}$$

by the area theorem. Hence  $nb_n = \mathcal{O}(n^p \sqrt{\log n})(n \rightarrow \infty)$ . If  $p < \gamma$ , this contradicts (4.5) so that  $\{F_n(z_0) \cdot n^{-p}\}$  cannot be bounded.

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Following the suggestions of two referees, the paper has undergone a major revision. In particular, Theorem I was generalized from a piecewise Lipschitz  $F$  to its present form, and its proof was greatly simplified.

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